UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

PROGRESS SOFTWARE CORPORATION,

Plaintiff,

10028

No.

v.

HOSPITALS OF ONTARIO PENSION PLAN,

Defendant.

RECEIPT # AMOUNT \$.

SUMMONS ISSUED

LOCAL RULE 4.1

WAIVER FORM.

MCF ISSUED

BY DPTY, CLK.

DATE.

COMPLAINT AND DEMAND FOR TRIAL BY JURY

Plaintiff, Progress Software Corporation, as and for its Complaint against defendant,

Hospitals of Ontario Pension Plan, states as follows:

Parties

- 1. Plaintiff, Progress Software Corporation ("Progress"), is a corporation organized under the laws of the Commonwealth of Massachusetts and having its principal place of business at 14 Oak Park, Bedford, Massachusetts 01730.
- 2. On information and belief, defendant, Hospitals of Ontario Pension Plan ("HOOPP"), is a contributory defined benefit multi-employer pension plan organized under the laws of Canada and the Province of Ontario and registered with the Financial Services Commission of Ontario and with the Canada Customs and Revenue Agency and having its principal place of business at 1 Toronto Street, Suite 1400, Toronto, Ontario M5C 3B2, Canada.

Jurisdiction and Venue

3. This Court has jurisdiction of this action pursuant to 28 U.S.C. § 1332, in that the matter in controversy exceeds the sum or value of \$75,000, exclusive of interest and costs, and is between a citizen of the Commonwealth of Massachusetts and a citizen or subject of a foreign state.

Venue is appropriate in this district pursuant to 28 U.S.C. §§ 1391(a)(2) and 4. 1391(d), in that a substantial part of the events or omissions giving rise to Progress's claims occurred in, and a substantial part of the property that is the subject of this action is situated in, this district, and in that HOOPP is an alien.

<u>Facts</u>

- 5. eXcelon Corporation ("eXcelon") is a corporation organized under the law of the State of Delaware and having its principal place of business at 14 Oak Park, Bedford, Massachusetts 01730. Prior to about January 31, 2000, the name of eXcelon was "Object Design." Inc."
- 6. Since prior to the year 2000 eXcelon was the owner of a certain computer software program referred to as "PSE Pro." PSE Pro is an object-oriented data management software program for transaction-intensive embedded and single-user applications written in the C++ and Java programming languages.
- 7. On or about December 19, 2002, eXcelon became a wholly owned subsidiary of Progress. eXcelon has assigned to Progress all its right, title, and interest, both legal and equitable, including without limitation all contract rights and copyrights, in and to PSE Pro.
- 8. On or about January 28, 2000, HOOPP and eXcelon entered into an agreement (the "January Agreement") whereby (a) eXcelon granted to HOOPP one unlimited end user license for PSE Pro operating on a single CPU, the terms and conditions of which license were set forth in a document titled "Object Design PSE Pro License Terms And Conditions" (the "PSE Pro License Terms And Conditions"), with a copy of PSE Pro available to be downloaded

1148627 - 2 - by HOOPP from a specified website and a copy to be shipped to HOOPP on CD-ROM disks, and (b) HOOPP agreed to pay to eXcelon the sum of three thousand eight hundred fifty dollars (\$3,850), plus one hundred ninety-five dollars (\$195) for one year of basic support and upgrades and twenty-five dollars (\$25) for shipping. The terms of the January Agreement were set forth in a document titled "Order Supplement" dated January 28, 2000 (the "January Order Supplement") and forwarded by eXcelon to HOOPP on or about January 28, 2000. The January Order Supplement incorporated by reference the PSE Pro License Terms And Conditions, a copy of which is attached hereto as Exhibit A.

- 9. Prior to installation of the copy of PSE Pro downloaded from the website specified by eXcelon or of the copy of PSE Pro shipped to HOOPP on CD-ROM disks, HOOPP was presented with a window setting forth the PSE Pro License Terms And Conditions, which commence with a paragraph directing the reader to carefully read the terms and conditions therein, stating that Object Design, *i.e.*, eXcelon, was only willing to provide the program and documentation upon those terms and conditions, and stating that any use of the program or documentation would confirm the user's agreement to be bound by those terms and conditions. HOOPP confirmed its agreement that in using PSE Pro it was bound by the PSE Pro License Terms And Conditions by clicking on the location indicated in the window, and it was thereupon permitted to install the program.
- 10. The package containing the copy of PSE Pro shipped to HOOPP on CD-ROM disks also included a paper copy of the PSE Pro License Terms And Conditions, differing from the electronic copy only in minor ways reflecting the different method of distribution of the software. The paper copy, a copy of which is attached hereto as Exhibit B, commenced with a paragraph directing the reader to carefully read the terms and conditions therein, stating that

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Object Design was only willing to provide the program and documentation upon those terms and conditions, and stating that any use of the program or documentation would confirm the user's agreement to be bound by those terms and conditions. HOOPP again confirmed its agreement that in using PSE Pro it was bound by the PSE Pro License Terms And Conditions by installing and using the copy of PSE Pro enclosed with the paper copy of the PSE Pro License Terms And Conditions.

- 11. On or about February 17, 2000, HOOPP and eXcelon entered into an agreement (the "February Agreement") whereby (a) eXcelon granted to HOOPP one development license for PSE Pro operating on a single CPU, the terms and conditions of which license were set forth in the PSE Pro License Terms And Conditions, with a copy of the development version of PSE Pro available to be downloaded by HOOPP from a specified website and a copy to be shipped to HOOPP on CD-ROM disks, and (b) HOOPP paid to eXcelon the sum of two hundred forty-five dollars (\$245), plus twenty-five dollars (\$25) for shipping. The terms of the February Agreement were set forth in a document titled "Order Supplement" dated February 17, 2000 (the "February Order Supplement") and forwarded by eXcelon to HOOPP. The February Order Supplement incorporated by reference the PSE Pro License Terms And Conditions.
- 12. On or about June 20, 2000, HOOPP and eXcelon entered into an agreement (the "June Agreement") whereby (a) eXcelon granted to HOOPP five development licenses for PSE Pro operating on a single CPU, the terms and conditions of which license were set forth in the PSE Pro License Terms And Conditions, with a copy of the development version of PSE Pro available to be downloaded by HOOPP from a specified website and copies to be shipped to HOOPP on CD-ROM disks, and (b) HOOPP paid to eXcelon the sum of one thousand one hundred dollars (\$1,100), plus nine hundred seventy-five dollars (\$975) for one year of

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maintenance and support and twenty-five dollars (\$25) for shipping. The terms of that agreement (the "June Agreement") were set forth in a document titled "Order Supplement" dated June 20, 2000 (the "June Order Supplement") and forwarded by eXcelon to HOOPP. The June Order Supplement incorporated by reference the PSE Pro License Terms And Conditions.

- 13. Any copy of the development version of PSE Pro provided by eXcelon to HOOPP pursuant to the February Agreement or the June Agreement could be installed only after HOOPP confirmed, in the manner described in the foregoing paragraph 9, its agreement that in using PSE Pro it was bound by the PSE Pro License Terms And Conditions.
- 14. Any copy of the development version of PSE Pro provided by eXcelon to HOOPP pursuant to the February Agreement or the June Agreement by means of CD-ROM disks was also accompanied, as described in the foregoing paragraph 10, by a paper copy of the PSE Pro License Terms And Conditions providing that HOOPP's use of the program would confirm its agreement to be bound by the terms and conditions set forth therein.
- 15. At the times eXcelon entered into the January Agreement, the February Agreement, and the June Agreement, eXcelon's principal place of business was in Burlington, Massachusetts. The January Order Supplement, February Order Supplement, and June Order Supplement were forwarded to HOOPP from Burlington, Massachusetts, all copies of PSE Pro shipped to HOOPP on CD-ROM disks were shipped to HOOPP from Burlington, Massachusetts, and all copies of PSE Pro downloaded by HOOPP were downloaded by it from a server located in Burlington, Massachusetts.
- Except for the single end user license and six development licenses acquired by 16. HOOPP pursuant to the January Agreement, the February Agreement, and the June Agreement, HOOPP has no other licenses for PSE Pro.

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- was licensed to operate PSE Pro only on the equipment specified in the document referred to therein as the "Order Doc," and if no equipment was specified in the Order Doc then on a single CPU. The Order Doc for the end user license granted to HOOPP pursuant to the January Agreement is the January Order Supplement, the Order Doc for the development license granted to HOOPP pursuant to the February Agreement is the February Order Supplement, and the Order Doc for the development licenses granted to HOOPP pursuant to the June Agreement is the June Order Supplement. Neither the January Order Supplement, the February Order Supplement, nor the June Order Supplement specifies the equipment on which PSE Pro is to be operated.

 Accordingly, HOOPP's licenses to operate PSE Pro were restricted to its operation on a single CPU.
- 18. As set forth in Section 2 of the PSE Pro License Terms And Conditions, after it had acquired an end user license and a development license to PSE Pro, HOOPP was licensed to use PSE Pro for the purpose of developing applications and to operate and distribute such applications, along with the portion of PSE Pro accessible by operation of those applications and not usable to develop applications, for its own internal business purposes. HOOPP was licensed to make such use, operation, and distribution only on the equipment specified in the Order Doc or, if no equipment was so specified, on the single CPU on which HOOPP was licensed to operate PSE Pro.
- 19. Because no equipment was specified in the Order Doc, HOOPP was licensed to use PSE Pro for the purpose of developing applications and to operate and distribute such applications, along with the portion of PSE Pro accessible by operation of such applications and not usable to develop applications, only on a single CPU, for its own internal business purposes.

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- 20. On information and belief, HOOPP used PSE Pro to develop one or more applications.
- 21. On information and belief, HOOPP copied, transferred, and distributed one or more applications developed using PSE Pro, and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications, to approximately 350 health care and related organizations in Ontario, Canada that employed one or more persons who participated in the pension plan operated by HOOPP.
- 22. On information and belief, HOOPP caused each of the approximately 350 health care and related organizations to which HOOPP distributed PSE Pro and applications developed using it to install, operate, and use, on one or more computers, those applications and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications.
- 23. None of the approximately 350 health care and related organizations to which HOOPP distributed PSE Pro and applications developed using it operated or used those programs for HOOPP's own internal business purposes.
- 24. HOOPP operated and used PSE Pro beyond the scope of its licenses by, among other things, copying, transferring, and distributing one or more applications developed using PSE Pro, and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications, to approximately 350 health care and related organizations, by causing each of those organizations to install, operate, and use, on one or more computers, those applications and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications, and by causing each of those organizations to install, operate, and use those applications and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications for purposes other than HOOPP's own internal business purposes.

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- 25. On information and belief, none of the approximately 350 health care and related organizations to which HOOPP distributed PSE Pro and applications developed using it had any license for PSE Pro.
- 26. Neither eXcelon nor Progress learned of HOOPP's copying, transfer, and distribution of PSE Pro and applications developed using it to approximately 350 health care and related organizations until in or about May 2003.
- On November 8, 2004, pursuant to Section 4 of the PSE Pro License Terms And Conditions, Progress gave HOOPP written notice, by telecopier and by registered mail, specifying HOOPP's failure and default in the performance of its obligations under the PSE Pro License Terms And Conditions and notifying HOOPP that if it failed to cure such failure and default to the satisfaction of Progress within ten days of its receipt of the notice, its license to PSE Pro would terminate as of the eleventh day after HOOPP's receipt of the notice.
- 28. HOOPP failed to cure the failure and default specified in Progress's written notice to HOOPP pursuant to Section 4 of the PSE Pro License Terms And Conditions within ten days of its receipt of that notice.
- 29. All of HOOPP's licenses to PSE Pro terminated as of the end of November 18, 2004.
- 30. On information and belief, HOOPP has continued to operate and use PSE Pro after the termination of its licenses to PSE Pro.
- 31. Pursuant to Section 4 of the PSE Pro License Terms And Conditions, HOOPP was required, within five days after termination of its licenses to PSE Pro, to return to Progress or destroy all copies of PSE Pro and have an appropriate authorized representative certify in writing the return or destruction of all such copies.

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- 32. HOOPP has failed to return to Progress or, on information and belief, to destroy all copies of PSE Pro, and HOOPP has failed to have an appropriate authorized representative certify in writing the return or destruction of all such copies.
- 33. All conditions precedent to the bringing of this action have been performed, have been waived, or have occurred.

Claims for Relief

Count One

(Breach of Contract)

- 34. Progress repeats and realleges the allegations set forth in the foregoing paragraphs1 through 33 with the same force and effect as if fully realleged and restated herein.
- 35. By reason of HOOPP's conduct set forth in the foregoing paragraphs, including, without limitation, (a) operating and using PSE Pro beyond the scope of its licenses, by copying, transferring, and distributing to approximately 350 health care and related organizations one or more applications developed using PSE Pro and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications, and by causing each of those organizations to install, operate, and use, on one or more computers, those applications and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications for purposes other than HOOPP's own internal business purposes, (b) failing and refusing to cease to operate and use PSE Pro after the termination of its licenses, and (c) failing and refusing to return to Progress or destroy all copies of PSE Pro, HOOPP materially breached the PSE Pro License Terms And Conditions, the January Agreement, the February Agreement, and the June Agreement.
- 36. Despite written demand by Progress, HOOPP has failed and refused to cure its breaches of the PSE Pro License Terms And Conditions, the January Agreement, the February Agreement, and the June Agreement.

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- 37. As a direct and proximate result of HOOPP's breaches of the PSE Pro License Terms And Conditions, the January Agreement, the February Agreement, and the June Agreement, Progress has suffered great damage.
- 38. HOOPP is liable to Progress for the damages suffered by Progress as a result of HOOPP's breaches of the PSE Pro License Terms And Conditions, the January Agreement, the February Agreement, and the June Agreement.

Count Two

(Breach of Implied Covenant of Good Faith and Fair Dealing)

- 39. Progress repeats and realleges the allegations set forth in the foregoing paragraphs 1 through 33 and 35 through 38 with the same force and effect as if fully realleged and restated herein.
- 40. As a matter of law, each of the PSE Pro License Terms And Conditions, the January Agreement, the February Agreement, and the June Agreement contains and incorporates an implied covenant of good faith and fair dealing.
- By reason of HOOPP's conduct as set forth in the foregoing paragraphs, 41. including, without limitation, (a) operating and using PSE Pro beyond the scope of its licenses, by copying, transferring, and distributing to approximately 350 health care and related organizations one or more applications developed using PSE Pro and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications, and by causing each of those organizations to install, operate, and use, on one or more computers, those applications and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications for purposes other than HOOPP's own internal business purposes, (b) failing and refusing to cease to operate and use PSE Pro after the termination of its licenses, and (c) failing and refusing to return to Progress or destroy all copies of PSE Pro, HOOPP materially breached the implied covenant of

1148627 - 10 - good faith and fair dealing contained in the PSE Pro License Terms And Conditions, the January Agreement, the February Agreement, and the June Agreement.

- 42. As a direct and proximate result of HOOPP's breaches of the implied covenant of good faith and fair dealing, Progress has suffered great damage.
- HOOPP is liable to Progress for the damages suffered by Progress as a result of 43. HOOPP's breaches of the implied covenant of good faith and fair dealing.

Count Three (Unjust Enrichment)

- Progress repeats and realleges the allegations set forth in the foregoing paragraphs 44. 1 through 33, 35 through 38, and 40 through 43with the same force and effect as if fully realleged and restated herein.
- 45. By reason of HOOPP's conduct set forth in the foregoing paragraphs, including, without limitation, (a) operating and using PSE Pro beyond the scope of its licenses, by copying, transferring, and distributing to approximately 350 health care and related organizations one or more applications developed using PSE Pro and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications, and by causing each of those organizations to install, operate, and use, on one or more computers, those applications and all of PSE Pro or the portion of PSE Pro accessible by operation of such applications for purposes other than HOOPP's own internal business purposes, (b) failing and refusing to cease to operate and use PSE Pro after the termination of its licenses, and (c) failing and refusing to return to Progress or destroy all copies of PSE Pro, HOOPP knowingly and intentionally obtained benefits from eXcelon and Progress for which HOOPP should reasonably have expected to pay but for which it has failed and refused to pay.

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- 46. By reason of its conduct set forth in the foregoing paragraphs, HOOPP has been unjustly benefited and enriched at the expense of Progress.
- 47. By reason of HOOPP's unjust enrichment as set forth in the foregoing paragraphs, restitution by HOOPP to Progress is necessary to protect Progress's interests and prevent unjust enrichment.
- 48. By reason of HOOPP's unjust enrichment as set forth in the foregoing paragraphs, HOOPP is required to make restitution to Progress.

WHEREFORE, Progress prays that the Court:

A. enter judgment in favor of Progress and against HOOPP for damages in such amount as may be proved at trial, plus interest;

B. grant Progress its costs and attorneys' fees; and

C. grant Progress such other and further relief as the Court may deem just and proper.

JURY DEMAND

Plaintiff demands a trial by jury of all issues triable of right by a jury.

PROGRESS SOFTWARE CORPORATION

By its attorneys,

Dated: January 6, 2005

Marc K. Temin (BBO #494280)

Marco J. Quina Foley, Hoag LLP 155 Seaport Boulevard Boston, MA 02210-2600

(617)832-1000

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JS 44 (Rev. 12/96)

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

l. (a) PLAINTIFFS	ero Corporation			DEFENDANTS Hospitals of On	tario Pensio	n Plan					
-	Progress Software Corporation b) county of residence of first Listed Plaintiff Middlesex					COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT Outside U.S.					
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	•			ATTORNEYS (IF KNOW)	1)						
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Foley Hoag LLP 155 Seaport Bould	evard, Boston, MA 02210-	2600		Merriann M. Panare Wilmer Cut et Pic (60 State Street, Bo (617) 526-6936							
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210 Land Condemnation 220 Foreclosure	441 Voting 442 Employment	510 Motions to Vac Sentence	cate L	& Disclosure Act	865 RSI (40		Information Act 900 Appeal of Fee Determination				
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290 All Other Real Property	440 Other Civil Rights	540 Mandamus & C	Other	791 Empl. Ret. Inc. Security Act	871 IRS — 26 USC	Third Party 27609	□ 890 Othe		Actions		
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VII. REQUESTED IN		DEMAND \$ in	excess of	\$75,000	CHECK YES only if	demanded in com	plaint:				
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VIII. RELATED CASE(S) IF ANY	(See Instructions):		UDGE			OCKET NUMBER					
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UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

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OBJECT DESIGN PSE PRO LICENSE TERMS AND CONDITIONS (Download Version 98.1)

YOU SHOULD CAREFULLY READ THE FOLLOWING TERMS AND CONDITIONS BEFORE USING THIS COMPUTER PROGRAM AND DOCUMENTATION. OBJECT DESIGN, INC. IS ONLY WILLING TO PROVIDE THIS COMPUTER PROGRAM AND THE ACCOMPANYING DOCUMENTATION TO YOU UPON THESE TERMS AND CONDITIONS. ANY USE OF THIS COMPUTER PROGRAM AND DOCUMENTATION WILL CONFIRM YOUR AGREEMENT TO BE BOUND BY THESE TERMS AND CONDITIONS. IF YOU DO NOT WISH TO BE BOUND TO THESE TERMS AND CONDITIONS, DO NOT INSTALL OR USE THE COMPUTER PROGRAM OR DOCUMENTATION AND YOUR MONEY WILL BE REFUNDED.

1. DEFINITIONS

Object Design, Inc., 25 Mall Road,

Burlington, Massachusetts 01803.

Software: The Object Design ObjectStore PSE Pro computer

programs in machine readable object code form.

Documentation: The instructional and operational user documentation

for the Software.

Product: An unaltered version of the Software and Documentation

with all functions intact, including all Product

updates and new versions you receive from Object Design.

Runtime Product: The portions of the Product that (i) are accessible

by operation of the Application(s), and (ii) do not include any features of the Product which permit the

development of Applications.

Application: An application program developed by you using the Product

in accordance with this Agreement, that is not in whole

or in part a functional equivalent of the Product.

Order Doc: An ordering document, online purchase page or PSE Pro

Royalty Agreement provided by Object Design or its distributor(s), that is signed or otherwise accepted by you.

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Object Design grants to you a non-exclusive, non-transferable license (the "License") to operate the Product linked hereto (downloaded electronically) and identified in the Order Doc, on the equipment specified in the Order Doc. If no equipment is specified in the Order Doc, this License is restricted to a single CPU. You may use the Product, (i) for the purpose of developing Applications and (ii) to operate and distribute for your own internal business purposes, the Runtime Product in connection with operation of the Applications on the client, server and/or standalone equipment specified in the Order Doc. Furthermore, you may use the Product to reproduce, distribute and sublicense the Runtime Product to your customers (iii) free-of-charge for demonstration and evaluation purposes only, as part of and embedded in a free-of-charge Application only, (iv) up to the limited quantity of Runtime Product (end-user) licenses paid for by you and specified in the Order Doc, as part of and embedded in an Application on the client, server and/or standalone equipment specified in the Order Doc, or (v) for the applicable royalty fees specified in the Order Doc and paid by you, for the corresponding quantity of Runtime Products part of and embedded in a royalty bearing Application on the client, server and/or standalone equipment specified in the Order Doc. Prior to or upon delivery of an Application to your customer pursuant to subsection (iii), (iv) or (v) herein, you shall enter into a binding license agreement with your customer that shall substantially conform to the applicable terms and conditions of this Agreement, including, but not limited to, protecting Object Design's proprietary rights in the Product and limiting Object Design's liability as contained herein. Notwithstanding the above, if you pay for a Runtime Product (end-user) license only (as specified in the Order Doc), then your license grant is limited to Section 2(ii) only (see immediately above).

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Install of WinCECPP.v25